

DaimlerChrysler AG

5 Method for checking the data integrity of software in control devices

The invention relates to a method for updating and loading at least one user program, referred to as flashware, which is to be stored in a program memory of a microprocessor system. The download process is carried out here by means of a system interface. The program memory is divided into an electrically erasable and programmable memory, referred to as a flash, and into a volatile read/write memory, referred to as a random access memory. Before the flashware which is to be downloaded is stored in the flash memory, the downloaded program data is checked for integrity and authenticity.

20 A method for updating and loading user programs into a program memory of a microprocessor system is known from German patent DE 195 06 957 C2. Here, flashware is read into the flash memory of a microprocessor system via a system interface. The flashware is firstly buffered here in a static read/write memory, referred to as a static random access memory (SRAM), and checked for transmission errors by means of a cyclic block protection method. There is no checking for authenticity of the downloaded flashware program here.

25 On the other hand, German laid-open patent application DE 100 08 974 A1 discloses a signature method for checking the authenticity of flashware for a control device in a motor vehicle. In this method, the flashware is provided with what is referred to as an electronic signature. In order to produce the electronic signature, what is referred to as a hash code is generated from the flashware by means of the hash function which is known per se. This hash code is

5 encrypted by means of a public key method. The public key method used is preferably the RSA method, named after the inventors Rivest, Shamir and Adleman. The encrypted hash code is appended to the application program to be transmitted. In the control device, the encrypted hash code is decrypted with the public key and flashware is used to compare it with the hash code calculated in the control device. If both hash codes correspond, the transmitted flashware is authentic.

10 10 Checking for transmission errors does not feature in the signature method.

15 Taking the prior art described above as a starting point, an object of this invention is to propose a method for checking the data integrity of software in control devices, in which method the transmitted data can be checked for transmission errors and authenticity in the most efficient way possible.

20 20 The solution according to the invention succeeds with a method having the features of the independent claim. Advantageous embodiments of the method according to the invention are contained in the subclaims and in the description of the exemplary embodiments.

25 25 When the data integrity of software is checked for transmission errors and authenticity during a download process, the flashed data must be checked repeatedly. The access or the access time to program data which is stored in the flash memory is lengthy. Particularly in the case of control devices in the motor vehicle, which generally have low computing powers for reasons of cost, a long access time for complex calculations such as authenticity checking gives rise to long and unacceptable delays. According to the invention, the checking of program data for transmission errors and authenticity can be configured in an efficient way if the calculation methods for checking for transmission

errors and for checking for authenticity are carried out as long as the flashware is located in a buffer with a fast access time. Lengthy access processes to the flash memory are therefore avoided. While in the 5 past it has been necessary to access the flash memory whenever the flashware was checked, with the method according to the invention it is only necessary to access the flash memory once in order to buffer the flashware in a buffer with a fast access time for all 10 the necessary checks.

The advantage which is mainly achieved with the invention is the chronologically efficient calculation of a plurality of checksums and, if appropriate, of 15 additional signature checking by reducing the access processes to the flash memory. This permits shorter flash times for the download process and thus permits numerous savings in production time.

20 Methods which are known per se are advantageously used for the authenticity checking. Established standards are, for example, the RSA signature of flashware or the use of what is referred to as a message authentication code. Both previously known authentication checks may 25 advantageously be used in conjunction with the invention.

In one alternative configuration of the method according to the invention, the security class which is 30 to be applied for the authenticity checking is interrogated and is selected before the authenticity checking. As a result, the invention can be used both for flashware with a low security class and for flashware with a high security class.

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The invention is explained in more detail below with reference to the exemplary embodiments according to figures 1 to 3. In the drawings:

Fig. 1 is a block diagram of an exemplary control device with a microprocessor and a logically functional division of the memory area,

5 Fig. 2 shows exemplary division of a memory into logic blocks, in which case each logic block may be composed of a plurality of segments. The programmed data (flashware) is stored in the segments - the gaps between the segments are
10 filled with what is referred to as illegal opcode or illegal data, and

Fig.3 shows a flowchart for the method according to the invention.

15 Figure 1 shows a typical microprocessor system such as is also used in control devices of motor vehicles. A microprocessor CPU, a system memory and a system interface for communication with external systems are connected to a process bus PBUS. The system memory is
20 divided logically and functionally into various memory areas. These memory areas may either be physically separated from one another or be formed by purely logical segmentation in a physically uniform memory. The operating system for the microprocessor is itself
25 essentially stored in the boot sector of the microprocessor system. What is referred to as a flash boot loader is also stored as an application program in the boot sector. When necessary, new application programs are downloaded under the system interface with
30 this flash boot loader and stored in the hash memory of the microprocessor system. Furthermore, the flash function, specifically what is referred to as the RIPEMD-160 algorithm is stored in the boot sector. The application programs with which the control device CC
35 operates are typically stored in the flash memory Flash of the microprocessor system. The flash memory is an electrically erasable and programmable non-volatile memory. Such memories are known as EEPROMs. In order to

- apply the method according to the invention, the microprocessor system contains a buffer. This buffer may be embodied as a separate memory, for example what is referred to as a cache memory, or may be embodied as
- 5 a reserved memory area within the read/write memory RAM of the microprocessor system. The necessary data, intermediate results and results are read into the read/write memory RAM by the application programs and stored, buffered and output. For the purposes of
- 10 authentication checks, either a key in the form of a deciphering code or in the form of a secret code is stored in a particularly protected read only memory. A deciphering code is required for encryption methods, while a code is required for simplified authentication
- 15 methods such as, for example, the message authentication codes. With a microprocessor system which is constructed in this way it is possible to download application programs as what is referred to as flashware with a download process such as is described,
- 20 for example, in German patent DE 195 06 957 C2, and to store then in the flash memory. According to the structure of figure 1 it is also possible to use a microprocessor system to carry out authentication methods which are standardized for the flashware to be
- 25 downloaded. In the sense of this invention, on the one hand established signature methods such as, for example, the public key encryption are referred to as authentication methods, and, on the other hand, what are referred to as message authentication codes are
- 30 referred to. An example of a signature method for flashware, based on a public key method, is disclosed in detail in German patent application DE 100 08 974 A1.
- 35 What is referred to as the RSA encryption method, named after the inventors Rivest, Shamir and Aldeman, has been adopted as the standard public key encryption method. In this method, at first a hash value with a

hash function which is known per se, for example the function RIPEMD-160, is generated from the message to be sent. The transmitter encrypts this calculated hash value with a private and secret key. The encrypted hash 5 value forms the signature and is appended to the message to be sent. The receiver of a message decrypts the signature with a public key, thus obtaining again the hash value calculated by the transmitter. Furthermore, the receiver of the message calculates the 10 hash value of the message from the unencrypted original message with the same hash function as the transmitter. If the hash value from the decrypted signature and the hash value which has been calculated by means of the unencrypted message correspond to one another, the 15 message is integral and authentic. Public key encryption methods fulfill high security requirements in terms of data integrity and authenticity. With respect to control devices in motor vehicles and the download process of flashware for these control 20 devices, public key methods fulfill the requirements for this highest security class for the download process of the flashware.

However, public key encryption methods are complex 25 owing to the complex encryption and decryption algorithms and cannot be used on every microprocessor in a control device of a motor vehicle. For example, the encryption methods operate with floating decimal point operations which are not always supported by 30 microprocessors in simple control devices. Authentication methods of a lower security level do not require enciphering and deciphering. Such a method has become prevalent as what is referred to as a message authentication code MAC. A message authentication code 35 operates with a secret identification code which all the parties to the communication must know and have. This authentication code is appended to the unencrypted message and a hash value is calculated from the message

distinguished in this way by means of a hash function. The unencrypted message and the calculated hash value are then exchanged between the parties to the communication. A receiver checks the transmitted 5 message by appending his identification code to the unencrypted message and calculates the hash value from this using the same hash function as the transmitter. If this calculated hash value corresponds to the hash value transmitted by the transmitter, the received 10 message is considered to be integral and authentic. The authentication messages on the basis of the previously described message authentication code have the advantage that only one method which is known per se has to be used for calculating hash values. Further 15 enciphering or deciphering steps such as, for example, RSA encryption are not required here. The hash value functions can also be carried out on very simple microprocessors. The application of message authentication codes is covered, for example, by patent 20 US 6,064,297. However, message authentication codes have previously been known only in internet applications or, as in the case of the US patent, in computer networks.

25 Figure 2 refers to the physical division of data in a logic or physical memory area or memory block. Not all the memory areas in a memory block are generally occupied with data. The useful data in a memory is generally located in various segments in which the 30 memory area was written to. The memory areas which do not have useful data written to them are filled with what is referred to as illegal opcode or illegal data between the individual segments segment 1, segment 2 to segment N, as are illustrated in figure 2. The illegal opcode means, for example, that the memory areas to 35 which useful data is not written are filled with logic zeros. In order to check logic memory blocks and to check copying processes for transmission errors, cyclic

block protection methods were developed in information technology. In their English designation these cyclic block protection methods are known as cyclic redundancy checks, CRC for short. This is a method for checking 5 transmission errors by means of a checksum. A simple example of a checksum is the parity bit which is calculated as a checksum and appended at each information packet which is 8 bytes long, 16 bytes long, 32 bytes long and 64 bytes long. The parity bit 10 gives information here as to whether the number of logic ones in the information packet is even or uneven. A copying process is then considered to be free of errors if the checksum parity has not changed during the copying process. The cyclic block protection 15 methods are calculated either as a checksum of the entire logic memory block, i.e. useful data in the segments plus filled in gaps, or as a checksum by means of the useful information in the segments alone. The checksum of the entire logic block is referred to here by CRC_total, while the checksum by means of the useful data in the segments is referred to here by CRC_written. The cyclic block protection methods for 20 checking the copying process per se are also applied during the process of downloading flashware into the 25 flash memories of a control device in a motor vehicle. Cyclic block protection methods require, like a hash function, access to the useful data whose copying process or whose hash value is to be calculated. However, hitherto the cyclic block protection methods 30 were completely separated from the authentication methods operating by means of a hash value method. That is to say the block protection methods were carried out first and completed before a hash value was calculated for the authentication method. As a result, in the past 35 in each case read access processes to the flash memory were necessary for the block protection methods on the one hand and for the hash value calculation in the subsequent identification method, on the other.

The invention comes in at this point.

Figure 3 shows an example of an optimized process for
5 downloading flashware in which, in addition to a cyclic
block protection method, an authentication method,
based on the calculation of hash values, is also
carried out. The flashware which is downloaded into the
10 flash memory is firstly read out of the flash memory
(read flash) and buffered in the buffer (refill
buffer). In the next step, a checksum is calculated by
means of the entire flash memory using a cyclic block
protection method by means of all the data which has
been buffered in the buffer and copied from the flash
15 memory. The integrity of the flash memory can be
checked later using this checksum CRC_total. In a
subsequent interrogation step (data within segment?) it
is interrogated whether the read-out flash memory
contains useful data. If no useful data is present, an
error is not output immediately but rather only when
20 there has been a comparison between the calculated
checksum CRC_written with the checksum CRC_transmitted
which is transferred during the download process. The
checksum CRC_total is stored and is thus available for
25 a later selfcheck.

If the read-out flash memory contains useful data, a
separate block protection method is carried out for
this useful data. This block protection method for the
30 useful data is carried out only by means of those
memory areas in which the useful data is stored. The
calculated checksum CRC_written is compared later with
the checksum for the useful data of the original
software CRC_transmitted which was transmitted during
35 the download process. Both checksums must correspond
for a satisfactory copying operation during the
download process. If the checksums CRC_written and
CRC_transmitted do not correspond, an error message

"error in the CRC verification" is issued again. If the flashware is not subject to any particular security class, no further checks are performed on the buffered flashware. If the flashware is subject to particular

5 security classes, the hash value calculations which are necessary for the authentication of the flashware are carried out immediately after the calculation of the CRC_written. Since at this time the flashware is still in the buffer which has significantly shorter access

10 times in comparison with the flash memory, the hash value calculations can be carried out by means of the data in the buffer, which leads to significantly more chronologically efficient execution of the method. The hash value calculations and the execution of the

15 authentication methods must of course be carried out in accordance with the respective security class of the flashware. As already stated with respect to figure 1, public key encryption methods, in the form of what is referred to as an RSA method, are of particular

20 interest here for flashware with a high security class or the abovementioned message authentication codes for flashware with a relatively low security level.

If the flashware is protected with a message

25 authentication code, the unencrypted flashware is concatenated with the secret identification code and a hash value HMAC is calculated by means of this combination. This calculated hash value HMAC is compared with the hash value HMAC_transmitted which is

30 transmitted during the download process. If the two values correspond, the authentication is successful (verification ok), and if the two values do not correspond an error message is output "error in HMAC-verification".

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If the software is subject to a relatively high security level, for example authentication by means of the RSA method discussed with respect to figure 1, the

authentication method is carried out in accordance with this RSA method using the data buffered in the buffer.

5 In this case, the hash value, which is transmitted in encoded form, of the original software is decyphered using the public key of the RSA method so that the hash value Hash_transmitted of the original software is obtained. A further hash value Hash (CCC) is then calculated for the flashware located in the buffer, and
10 is compared with the decyphered hash value Hash_transmitted of the original software. If the two hash values correspond, the authentication is successful (Verification ok). If the two hash values do not correspond, a fault message "Error in Hash
15 Verification" is output. If decyphering of the hash value which is transmitted in encoded form does not succeed, the authentication process ends prematurely and a fault message "Error in Signature Verification" is output.

20 To summarize it can be stated that the buffering of the downloaded flashware in a buffer with rapid access times permits the check methods which are necessary for the download process to be carried out more
25 chronologically efficiently. Both the cyclic block protection methods and the authentication methods to be applied depending on the security class are carried out in the method according to the invention using the data buffered in the buffer. Repeated access to the flash
30 memory for the execution of the block protection methods on the one hand and for the execution of the authentication methods on the other is successfully avoided. As a result, ultimately shorter flash times and thus saving in production time are achieved. In the
35 case of a download into a control device of a motor vehicle, the download process for flashware must in fact be carried out for the first time during the production of the motor vehicle. The motor vehicles

cannot after all be delivered with control devices without software.